

3

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

of

	Complete if Known	
Application No.	10/052,016	
Filing Dat	January 16, 2002	
First Named Inventor	Albert K. Chin	RECEIVE
Art Unit	1636	LICEIA
Examiner Name	Unassigned	SEP 1 2 200
Attorney Docket Number	80121-06566	OLT 1 2 200

TECH CENTER 1600/2900

			U.S. PATE	NT DOCUMENTS
		Document No.		
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
Ceo		us-6,176,825	01-23-2001	Chin et al.
{		us-5,938,620	08-17-1999	Daxer
		us-5,897,487	04-27-1999	Ouchi
		us-5,895,353	04-20-1999	Lunsford et al.
	ļ	us-5,895,352	04-20-1999	Kleiner
		us-5,762,606	06-09-1998	Minnich RFO
		us-5,738,628	04-14-1998	Minnich Sierocuk Knight et al. Viola et al. Huitema
		us-5,722,934	03-03-1998	Knight et al.
		us-5,716,352	02-10-1998	Knight et al. Viola et al. Huitema Huitema et al. Wales et al.
		us-5,713,505	02-03-1998	Huitema CGY CFAVE
		us-5,704,534	01-06-1998	Huitema et al.
		us-5,702,408	12-30-1997	Wales et al.
		us-5,690,606	11-25-1997	Slotman
		us-5,685,820	11-11-1997	Riek et al.
		us-5,680,982	10-28-1997	Schulze et al.
		us-5,673,840	10-07-1997	Schulze et al.
		us-5,662,662	09-02-1997	Bishop et al.
		us-5,656,012	08-12-1997	Sienkiewicz
		us-5,634,584	06-03-1997	Okorocha et al.
		us-5,630,787	05-20-1997	Yabe et al.
		us-5,626,587	05-06-1997	Bishop et al.
		us-5,588,581	12-31-1996	Conlon et al.
		us-5,569,183	10-29-1996	Kieturakis
V		us-5,564,615	10-15-1996	Bishop et al.
ceo		us-5,554,101	09-10-1996	Matula et al.

Examiner Signatur	Cany ED Connor	Date Considered	8-6-03	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁸Applicant is to place a check mark here if English language Translation is attached.

COPY OF PAPERS ORIGINALLY FILED

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO	Complete if Known		
INFORMATION DISCLOSURE	Application N .	10/052,016 RECEIVE	
CTATE DE LOS DISCLOSORE	Filing Date	January 16, 2002	
STATEMENT BY APPLICANT	First Named Inventor	Albert K. Chin SEP 1 2 2002	
0	Art Unit	1636 SEF 1 & 2002	
SEP 1 0 2002 2	Examiner Name	Unassigned TECH CENTED 1000/0	
Sheet 2 of 3	Attorney Docket Number	80121-06566 TECH CENTER 1600/2	

			U.S. PATE	NT DOCUMENTS
		Document No.		
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
CLO		us-5,535,759	07-16-1996	Wilk
1		us-5,512,037	04-30-1996	Russell et al.
		us-5,505,686	04-09-1996	Willis et al.
		us-5,501,654	03-26-1996	Failla et al.
		us-5,450,842	09-19-1995	Tovey et al.
		us-5,419,309	05-30-1995	Biehl
		us-5,395,383	03-07-1995	Adams et al.
		us-5,374,277	12-20-1994	Hassler De
		us-5,370,109	12-06-1994	Hassler Cuny Bonutti SEP 3 2002 Reddy
		us-5,345,927	09-13-1994	Bonutti SED O
		us-5,339,803	08-23-1994	Mayzels et al.
		us-5,337,736	08-16-1994	Reddy CONTRACTOR CONTR
		us-5,284,128	02-08-1994	Hart CENTER S
		us-5,275,608	01-04-1994	Mayzels et al.
4 \		us-5,271,385	12-21-1993	Bailey
		us-4,991,565	02-12-1991	Takahashi et al.
		us-4,858,595	08-22-1989	Buess et al.
		us-4,838,246	06-13-1989	Hahn et al.
		us-4,773,394	09-27-1988	Reichstein et al.
		us-4,744,363	05-17-1988	Hasson
		us-4,667,655	05-26-1987	Ogiu et al.
		us-4,651,733	03-24-1987	Mobin-Uddin
		us-4,557,255	12-10-1985	Goodman
4		us-4,428,746	01-31-1984	Mendez
CUS		us-4,232,660	11-11-1980	Coles

Cary W Considered 8/6/3

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴Applicant is to place a check mark here if English language Translation is attached.

COPY OF PAPERS ORIGINALLY FILED

PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known
INFORMATION DISCLOSURE	Application No.	10/052,016 DECEIVE
STATEMENT BY APPLICANT	Filing Date	January 16, 2002
STATEMENT BY APPLICANT	First Named Inventor	Albert K. Chin
SEP 1 0 2002 &	Art Unit	1636 SEP 1 2 2002
SEP 10 2 E	Examiner Name	Unassigned TEOLOGNEED 4000/00/
Sheet 3 J of 3	Attorney Docket Number	80121-06566 TECH CENTER 1600/29

			U.S. PATE	ENT DOCUMENTS	
		Document No.			
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
ceo		us-4,190,042	02-26-1980	Sinnreich	
1		us-3,866,601	02-18-1975	Russell RECEIVE	-
		us-3,857,386	12-31-1974	Ashbeil	Ü
		us-3,835,841	09-17-1974	Terada	
		us-3,357,433	12-12-1967		
	Ĭ	us-3,313,294	04-11-1967	Fourestier et al. Uddenberg TECHNOLOGY CENTER R3	200
		us-2,821,190	01-28-1958	Chase	· ····································
		us-2,220,720	.01-07-1941	Leggiadro	
		us-2,162,681	06-13-1939	Ryan	
<u>,</u>		us-1,727,495	09-10-1929	Wapper	
CED		us-79,015	06-16-1868	Schulz	

•			FOREIGN PA	ATENT DOCUMENTS	
		Foreign Patent Document			
Examiner Initials*	Cite No.1	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T°
Ceo		EP 0 681 811 A2	11-15-1995	United States Surgical Corporation	
Cee		EP 0 761 171 A2	03-12-1997	Olympus Optical Co., Ltd.	
ces		WO 97-26831	01-24-1997	Origin Medsystems, Inc.	

Examiner Signature	Cayel Connoc	Date Considered	8/6/03	
-----------------------	--------------	--------------------	--------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴Applicant is to place a check mark here if English language Translation is attached.